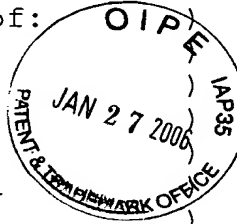


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
BRUNA ET AL.

Serial No. 09/902,439  
Confirmation No: 5514  
Filing Date: JULY 10, 2001

For: METHOD OF COMPRESSING DIGITAL  
IMAGES



Examiner: WENPENG CHEN

Art Unit: 2625

NOA Date: 11/29/05

TRANSMITTAL OF CERTIFIED PRIORITY DOCUMENT

BOX ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a certified copy of the  
priority European Application No. 00202436.2.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Chris Regan', written over a horizontal line.

CHRISTOPHER F. REGAN  
Reg. No. 34,906  
Allen, Dyer, Doppelt, Milbrath  
& Gilchrist, P.A.  
255 S. Orange Ave., Suite 1401  
P. O. Box 3791  
Orlando, Florida 32802  
(407) 841-2330

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first class  
mail in an envelope addressed to: MAIL STOP ISSUE FEE,  
COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-  
1450, on this 24<sup>th</sup> day of January, 2006.

A handwritten signature in black ink, appearing to read 'Justin Darr', written over a horizontal line.

**THIS PAGE BLANK (USPTO)**



**Europäisches  
Patentamt**

**European  
Patent Office**

**Office européen  
des brevets**

**Bescheinigung**

**Certificate**

**Attestation**

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

**Patentanmeldung Nr. Patent application No. Demande de brevet n°**

00202436.2

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

**I.L.C. HATTEN-HECKMAN**

DEN HAAG, DEN  
THE HAGUE, 23/07/01  
LA HAYE, LE

**THIS PAGE BLANK (USPTO)**



Europäisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

Anmeldung Nr.:  
Application no.:  
Demande n°: 00202436.2

Anmeldetag:  
Date of filing:  
Date de dépôt: 10/07/00 ✓

Anmelder:  
Applicant(s):  
Demandeur(s):  
STMicroelectronics S.r.l.  
20041 Agrate Brianza (Milano)  
ITALY

Bezeichnung der Erfindung:  
Title of the invention:  
Titre de l'invention:  
A method of compressing digital images

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:  
State:  
Pays:

Tag:  
Date:  
Date:

Aktenzeichen:  
File no.  
Numéro de dépôt:

Internationale Patentklassifikation:  
International Patent classification:  
Classification internationale des brevets:

H04N7/30, G06T9/00

Am Anmeldetag benannte Vertragsstaaten:  
Contracting states designated at date of filing: AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE/TR  
Etats contractants désignés lors du dépôt:

Bemerkungen:  
Remarks:  
Remarques:

**THIS PAGE BLANK (USPTO)**

EPO - DG 1

10. 07. 2000

1

(41)

The present invention relates to a method of compressing digital images.

Digital images are commonly used in several applications such as, for example, in digital still  
5 cameras (DSC). A digital image consists of a matrix of elements, commonly referred to as a bit map; each element of the matrix, which represents an elemental area of the image (a pixel or pel), is formed by several digital values indicating corresponding components of the pixel.

10 Digital images are typically subjected to a compression process in order to increase the number of digital images which can be stored simultaneously, such as onto a memory of the camera; moreover, this allows transmission of digital images (for example in the  
15 INTERNET) to be easier and less time consuming. A compression method commonly used in standard applications is the JPEG (Joint Photographic Experts Group) algorithm, described in CCITT T.81, 1992.

In the JPEG algorithm, 8x8 pixel blocks are  
20 extracted from the digital image; Discrete Cosine Transform (DCT) coefficients are then calculated for the components of each block. The DCT coefficients are rounded off using corresponding quantization tables; the quantized DCT coefficients are encoded in order to obtain  
25 a compressed digital image (from which the corresponding

2

original digital image can be extracted later on by a decompression process).

In some applications, it is necessary to provide a substantially constant memory requirement for each compressed digital image (the so called Compression Factor Control, or CF-CTRL). This problem is particularly perceived in digital still cameras; in fact, in this case it must be ensured that a minimum number of compressed digital images can be stored onto the memory of the camera, in order to guarantee that a minimum number of photos can be taken by the camera.

The compression factor control is quite difficult in algorithms, such as the JPEG, wherein the size of the compressed digital image depends on the content of the corresponding original digital image.

Generally, the compression factor is controlled by scaling the quantization tables using a multiplier coefficient (gain factor). The gain factor to obtain a target compression factor is determined using iterative methods. The compression process is executed several times, at least twice; the gain factor is modified according to the result of the preceding compression process, until the compressed digital image has a size that meets the target compression factor.

The methods known in the art require a high



computation time, so that they are quite slow. Moreover, the known methods involve a considerable power consumption; this drawback is particular acute when the compression method is implemented in a digital still  
5 camera, or other portable devices which are supplied by batteries.

It is an object of the present invention to overcome the above mentioned drawbacks. In order to achieve this object, a method of compressing a digital image as set  
10 out in the first claim is proposed.

Briefly, the present invention provides a method of compressing a digital image including a matrix of elements each one consisting of a plurality of digital components of different type representing a pixel, the  
15 method comprising the steps of splitting the digital image into a plurality of blocks and calculating, for each block, a group of DCT coefficients for the components of each type, and quantizing the DCT coefficients of each block using a corresponding  
20 quantization table scaled by a gain factor for achieving a target compression factor; the method further comprises the steps of determining at least one energy measure of the digital image, and estimating the gain factor as a function of the at least one energy measure, the function  
25 being determined experimentally according to the target

compression factor.

Moreover, the present invention also provides a corresponding device for compressing a digital image and a digital still camera comprising this device.

5 Further features and the advantages of the solution according to the present invention will be made clear by the following description of a preferred embodiment thereof, given purely by way of a non-restrictive indication, with reference to the attached figures, in  
10 which:

Fig.1 is a schematic block diagram of a digital still camera, in which the compression method of the invention can be used,

15 Figg.2a and 2b depict an example of relation energy/number of bits required to encode AC coefficients and an example of relation basic compression factor/gain factor, respectively,

Fig.3 is a schematic block diagram of an energy unit of the camera,

20 Figg.4a-4b show a flow chart of the compression method,

Figg.4c-4d is a flow chart of an alternative embodiment of the compression method.

With reference in particular to Fig.1, this shows a  
25 digital still camera 100 for taking digital images

representative of real scenes. A digital image is constituted by a matrix with N rows and M columns (for example, 640 rows by 480 columns); each element of the matrix consists of several digital values (for example  
5 three values each one of 8 bits, ranging from 0 to 255) representative of respective optical components of a pixel.

The camera 100 includes an image-acquisition unit  
105 formed by a diaphragm and a set of lenses for  
10 transmitting the light corresponding to the image of the real scene onto a sensor unit (SENS) 110. The sensor unit 110 is typically constituted by a Charge-Coupled Device (CCD); a CCD is an integrated circuit which contains a matrix of light-sensitive cells, each one  
15 generating a voltage the intensity of which is proportional to the exposure of the light-sensitive cell. The voltage generated by each light-sensitive cell is supplied to an analog/digital converter, which produces a corresponding digital value.

20 In order to reduce the number of light-sensitive cells, the sensor unit 110 does not detect all the components for every pixel; typically, only one light-sensitive cell is provided for each pixel. The CCD is covered by a colour filter consisting of a matrix of  
25 filter elements each one associated with a corresponding light-sensitive cell of the CCD; each filter element

6

transmits (absorbing a minimal portion) the luminous radiation belonging only to the wavelength of red, blue or green light (substantially absorbing the others), so as to detect a red color component (R), a green color  
 5 component (G), or a blue color component (B) for each pixel.

In particular, the filter is of the Bayer type as described in US-A-3,971,065, in which only the G component is detected for a half of the pixels, in a  
 10 chessboard-like arrangement; the R component or the B component is detected for the other half of the pixels, in respective alternate rows, as shown in the following table:

	...	...	...	...	...	...	...	...	...	...
15	...	G	R	G	R	G	R	G	R	G
	...	B	G	B	G	B	G	B	G	B
	...	G	R	G	R	G	R	G	R	G
	...	B	G	B	G	B	G	B	G	B
	...	...	...	...	...	...	...	...	...	...

20 An incomplete digital image SImg, in which each element consists of a single colour component (R, G or B), is output by the sensor unit 110.

The camera 100 includes a control unit 115 formed by several blocks which are connected in parallel to a  
 25 communication bus 120. Particularly, a pre-processing unit (PRE\_PROC) 125 receives the incomplete digital image

SImg. The pre-processing unit 125 determines various parameters of the incomplete digital image SImg (such as a high-frequency content and an average luminosity); these parameters are used to automatically control a focus (auto-focus) and an exposure (auto-exposure) by means of corresponding control signals Sc which are supplied to the acquisition unit 105. The pre-processing unit 125 also modifies the incomplete digital image SImg, for example applying a white-balance algorithm which corrects the colour shift of the light towards red (reddish) or towards blue (bluish), in dependence on the colour temperature of the light source; a corresponding incomplete digital image BImg is output by the pre-processing unit 125 and sent onto the bus 120.

The incomplete digital image BImg is received by an image-processing unit (IPU) 130. The image-processing unit 130 interpolates the missing colour components in each element of the incomplete digital image BImg, in order to obtain a corresponding digital image RGB wherein each pixel is represented by the R component, the G component and the B component. The digital image RGB is then processed to improve image quality, for example correcting exposure problems such as back-lighting or excessive front illumination, reducing a noise introduced by the CDD, correcting alterations of a selected colour

tone, applying special effects (such as a mist effect), compensating the loss of sharpness due to a  $\gamma$ -correction function (typically applied by a television set); moreover, the digital image can be enlarged, a particular  
5 of the image can be zoomed, or the ratio of its dimensions can be changed (for example from 4:3 to 16:9), and the like.

The digital image RGB is then converted into a corresponding digital image YUV in a  
10 luminance/chrominance space. Each pixel of the digital image YUV is represented by a luminance component Y (providing information about the brightness), and two chrominance components Cu and CV (providing information about the hue); the Y,Cu,Cv components are calculated  
15 from the respective R,G,B components applying, for example, the following equations:

$$Y = 0.299 \cdot R + 0.587 \cdot G + 0.114 \cdot B$$

$$Cu = -0.1687 \cdot R - 0.3313 \cdot G + 0.5 \cdot B + 128$$

$$Cv = 0.5 \cdot R - 0.4187 \cdot G - 0.0813 \cdot B + 128$$

20 This allows chrominance information to be easily identified, in order to discard more chrominance information than luminance information during a following compression process of the digital image (being the human eye more sensitive to luminance than chrominance). The  
25 digital image YUV is sent onto the bus 120.

A compression unit 135 is also connected to the bus 120; the compression unit 135 receives the digital image YUV and outputs a corresponding digital image JImg compressed applying a JPEG algorithm. The compression unit 135 includes a Discrete Cosine Transform (DCT) unit 145, which is input the digital image YUV. Each component of the digital image YUV is shifted from the range 0..255 to the range -128..+127, in order to normalize the result of the operation. The digital image YUV is then split into several blocks of 8x8 pixels (640x480/64 = 4800 blocks in the example at issue). Each block of Y components BLy, each block of Cu components BLu, and each block of Cv components BLv is translated into a group of DCT coefficients DCTy, a group of DCT coefficients DCTu, and a group of DCT coefficients DCTv, respectively, representing a spatial frequency of the corresponding components. The DCT coefficients  $DCT_{y,u,v}[h,k]$  (with  $h,k=0..7$ ) are calculated using the following formula:

$$DCT_{y,u,v}[h,k] = \frac{1}{4} Dh Dk \sum_{x=0}^7 \sum_{y=0}^7 BL_{y,u,v}[x,y] \cos \frac{(2h+1)x\pi}{16} \cos \frac{(2k+1)y\pi}{16}$$

wherein  $Dh, Dk = 1/\sqrt{2}$  for  $h,k=0$  and  $Dh, Dk=1$  otherwise. The first DCT coefficient of each group is referred to as DC coefficient, and it is proportional to the average of the components of the group, whereas the other DCT coefficients are referred to as AC coefficients.

10

The groups of DCT coefficients  $DCT_{y,u,v}$  are directly provided to a quantizer (QUANT) 150, which also receives (from the bus 120) a scaled quantization table for each type of component; typically, a scaled quantization table 5  $SQ_y$  is used for the Y components and a scaled quantization table  $SQ_{uv}$  is used for both the  $C_u$  components and the  $C_v$  components. Each scaled quantization table consists of a  $8 \times 8$  matrix of quantization constants; the DCT coefficients of each 10 group are divided by the corresponding quantization constants and rounded off to the nearest integer. As a consequence, smaller and unimportant DCT coefficients disappear and larger DCT coefficients lose unnecessary precision. The quantization process generates 15 corresponding groups of quantized DCT coefficients  $QDCT_y$  for the Y component, groups of quantized DCT coefficients  $QDCT_u$  for the  $C_u$  component, and groups of quantized DCT coefficients  $QDCT_v$  for the  $C_v$  component.

These values drastically reduce the amount of 20 information required to represent the digital image. The JPEG algorithm is then a lossy compression method, wherein some information about the original image is finally lost during the compression process; however, no image degradation is usually visible to the human eye at 25 normal magnification in the corresponding de-compressed



11

digital image for a compression ratio ranging from 10:1  
to 20:1 (defined as the ratio between the number of bits  
required to represent the digital image YUV and the  
number of bits required to represent the compressed  
5 digital image JImg).

Each scaled quantization table  $SQ_y, SQ_{uv}$  is obtained  
multiplying a corresponding quantization table  $Q_y, Q_{uv}$  by  
a gain factor  $G$  (determined as set out in the following),  
that is  $SQ_y = G \cdot Q_y$  and  $SQ_{uv} = G \cdot Q_{uv}$ . The gain factor  $G$  is used  
10 to obtain a desired, target compression factor  $bp_c$  of the  
JPEG algorithm (defined as the ratio between the number  
of bits of the compressed digital image JImg and the  
number of pixels). Particularly, if the gain factor  $G$  is  
greater than 1, the compression factor is reduced  
15 (compared to the one provided by the quantization tables  
 $Q_y, Q_{uv}$ ), whereas if the gain factor  $G$  is less than 1 the  
compression factor is increased.

The quantization tables  $Q_y, Q_{uv}$  are defined so as to  
discard more chrominance information than luminance  
20 information. For example, the quantization table  $Q_y$  is:

	1	11	10	16	24	40	51	61
	12	12	14	19	26	58	60	55
	14	13	16	24	40	57	69	56
	14	17	22	29	51	87	8	62
25	18	22	37	56	68	109	203	77

12

24	35	55	64	81	104	113	92
49	64	78	87	103	121	120	101
72	92	95	98	112	100	103	99

and the quantization table Quv is:

5	1	18	24	47	99	99	99	99
	18	21	26	66	99	99	99	99
	24	26	56	99	99	99	99	99
	47	66	99	99	99	99	99	99
	99	66	99	99	99	99	99	99
10	99	66	99	99	99	99	99	99
	99	66	99	99	99	99	99	99
	99	66	99	99	99	99	99	99

Preferably, the quantization constants for the DC coefficients are equal to 1 in both cases, in order not to loose any information about the mean content of each block, and then to avoid the so-called "block-effect" (wherein a contrast is perceivable between the blocks of the de-compressed image).

The groups of quantized DCT coefficients QDCT<sub>y,u,v</sub> are directly provided to a zigzag unit (ZZ) 155. The zigzag unit 155 modifies and reorders the quantized DCT coefficients to obtain a single vector ZZ of digital values. Each quantized DC coefficient (but the one of a first group) is represented as the difference from the quantized DC coefficient of a previous group. The

quantized AC coefficients are arranged in a zigzag order, so that quantized AC coefficients representing low frequencies are moved to the beginning of the group and quantized AC coefficients representing high frequencies are moved to the end of the group; since the quantized AC coefficients representing high frequencies are more likely to be zeros, this increases the probability of having longer sequences of zeros in the vector ZZ (which require a lower number of bits in a run length encoding scheme).

The vector ZZ is directly provided to an encoder (ENC) 160, which also receives one or more encoding tables HT from the bus 120. Each value of the vector ZZ is encoded using a Huffman scheme, wherein the value is represented by a variable number of bits which is inversely proportional to a statistical frequency of use thereof. The encoder 160 then generates the corresponding compressed digital image JImg (which is sent onto the bus 120). The compressed digital image JImg is typically formed by a header (for example some tens of bytes containing information about the digital image and the compression method, such as the quantization tables and the dimension of the digital image) followed by the encoded values. If the last encoded value associated with a block is equal to 00, it must be followed by a

(variable) End of Block (EOB) control word. Moreover, if an encoded value is equal to a further control word FF (used as a marker), this value must be followed by a 00 value.

5           The control unit 115 also includes a working memory 165, typically a SDRAM (Synchronous Dynamic Random Access Memory) and a microprocessor ( $\mu$ P) 170, which controls the operation of the device. Several peripheral units are further connected to the bus 120 (by means of a  
10       respective interface). Particularly, a non-volatile memory 175, typically a flash E<sup>2</sup>PROM, stores the quantization tables Qy, Quv, the encoding tables HT, and a control program for the microprocessor 170. A memory card (MEM\_CARD) 180 is used to store the compressed digital  
15       images JImg; the memory card 185 has a capacity of a few Mbytes, and can store several tens of compressed digital images JImg. At the end, the camera 100 includes an input/output (I/O) unit 185 consisting, for example, of a series of push-buttons, for enabling the user to select  
20       various functions of the camera 100 (such as an on/off button, an image quality selection button, a shot button, a zoom control button), and a liquid-crystal display (LCD), for supplying data on the operative state of the camera 100 to the user.

25           Likewise considerations apply if the camera has a different architecture or includes different units, such

as equivalent communication means, a CMOS sensor, a viewfinder or an interface for connection to a personal computer (PC) and a television set, if another colour filter (not with a Bayer pattern) is used, if the  
5 compressed digital images are directly sent outside the camera (without being stored onto the memory card), and so on. Alternatively, the digital image is converted into another space (not a luminance/chrominance space), the digital image RGB is directly compressed (without being  
10 converted), the digital image YUV is manipulated to down-sample the Cu,Cv components by averaging groups of pixels together (in order to eliminate further information without sacrificing overall image quality), or no elaboration of the digital image is performed; similarly,  
15 one or more different quantization tables are used, arithmetic encoding schemes are employed, a different compression algorithm is used (such as a progressive JPEG). Moreover, the compression method of the present invention leads itself to be implemented even in a  
20 different apparatus, such as a portable scanner, a computer in which graphic applications are provided, and the like.

In the camera 100, in addition to the known structure described above, it is provided an energy unit  
25 (ENRG) 190 which receives the digital image YUV from the

bus 120. The energy unit 190 determines (as described in detail in the following) an energy measure  $E_y$ ,  $E_u$  and  $E_v$  for each type of component ( $Y$ ,  $C_u$  and  $C_v$ , respectively) of the digital image YUV; in other words, values  
5 indicative of the high-frequency content of each type of component of the digital image YUV are determined. A total energy measure  $E=E_y+E_u+E_v$  is then calculated and sent onto the bus 120.

The inventors have discovered that the gain factor  $G$   
10 for obtaining the target compression factor  $bp_t$  is a function of one or more energy measures of the digital image YUV (the total energy measure  $E$  in the example at issue). The function depends on the target compression factor  $bp_t$  (in addition to the characteristics of the  
15 camera 100, such as the dimension of the CCD, the size of the digital image, the quantization tables used), and can be determined a priori by a statistical analysis.

More generally, as described in detail in the following, the present invention includes the steps of  
20 determining at least one energy measure of the digital image, and estimating the gain factor as a function of the at least one energy measure, the function being determined experimentally according to the target compression factor.

25 The method of the invention is very fast, in that

17

the operations performed by the compression unit (i.e., the compression of the digital image) are executed only once.

The solution according to the present invention is particularly advantageous in portable devices supplied by batteries (even if different applications are not excluded), since it drastically reduces the power consumption.

These results are achieved with a low error (of the order of a few units per cent) between the target compression factor  $bp_t$  and a compression factor  $bp_a$  actually obtained, defined as  $(bp_t - bp_a)/bp_t$ . Experimental results on the camera at issue provided a mean error of -0,6% (the negative error is more important than the positive error because the size of the compressed digital image is bigger than the target one), with a distribution of 68% between  $\pm 6\%$  and 82% between  $\pm 10\%$ .

In a preferred embodiment of the present invention, it is first estimated the number of bits ACbits required to encode (in the compressed digital image JImg) the AC coefficients of all the groups quantized using the tables  $Q_y, Q_{uv}$  scaled by a pre-set factor  $S$  (determined as set out in the following); the number ACbits is estimated as a function of the one or more energy measures, determined a priori by a statistical analysis.

For example, Fig.2a shows a relation between the total energy measure  $E$  and the number ACbits for a camera having a CDD with 1 million of light-sensitive cells and for images of  $640 \times 480$  pixels, with a factor  $S=0,2$  and a target compression factor  $bp_t=2$  bit/pel. This relation can be interpolated as a linear function; in other words, the number ACbits can be estimated using the relation  $ACbits = a \cdot E + b$  (wherein  $a$  and  $b$  are parameters depending on the characteristics of the camera and the target compression factor  $bp_t$ ).

The DC coefficient  $DC_{y,u,v}$  of each group is equal to the mean value of the components of the respective block  $BL_{y,u,v}$ , that is:

$$DC_{y,u,v} = \frac{1}{64} \sum_{h=0}^7 \sum_{k=0}^7 BL_{y,u,v}[h,k]$$

The quantized DC coefficients  $QDC_{y,u,v}$  are calculated dividing the DC coefficients  $DC_{y,u,v}$  by the corresponding quantization constants and rounding off the result to the nearest integer; in the example at issue, wherein the quantization constants for the DC coefficients are equal to 1, the quantized DC coefficients  $QDC_{y,u,v}$  are the integer part of the respective DC coefficients  $DC_{y,u,v}$ , that is  $QDC_{y,u,v} = \text{INT}[DC_{y,u,v}]$ .

Each quantized DC coefficient is represented in the



19

vector ZZ as the difference DiffQDCy,u,v from the quantized DC coefficient of a previous group; the number of bits DiffDCbits required to encode (in the compressed digital image JImg) each DiffQDCy,u,v value is defined in the JPEG standard by the following table JTy, for the Y components:

	DiffQDCy	DiffDCbits
	0	2
	-1...+1	4
10	-3...-2,+2...+3	5
	-7...-4,+4...+7	6
	-15...-8,+8...+15	7
	-31...-16,+16...+31	8
	-63...-32,+32...+63	10
15	-127...-64,+64...+127	12
	-255...-128,+128...+255	14
	-511...-256,+256...+511	16
	-1023...-512,+512...+1023	18
	-2047...-1024,+1024...+2047	20

20 and by the following table JTuv, for the Cu,Cv components:

	DiffQDCu,v	DiffDCbits
	0	2
	-1...+1	3
25	-3...-2,+2...+3	4

20

	-7...-4,+4...+7	6
	-15...-8,+8...+15	8
	-31...-16,+16...+31	10
	-63...-32,+32...+63	12
5	-127...-64,+64...+127	14
	-255...-128,+128...+255	16
	-511...-256,+256...+511	18
	-1023...-512,+512...+1023	20
	-2047...-1024,+1024...+2047	22

10 The number of bits DCbits required to encode (in the compressed digital image JImg) the quantized DC coefficients of all the groups is then calculated by summing the values DiffDCbits.

The number of bits HDbits required to represent the  
 15 header of the compressed digital image JImg is fixed; the number of EOB control words is assumed equal to the number of blocks, and the number of bits CTbits required to represent the EOB control words is then estimated by the formula  $8 \cdot N \cdot M / 64 = N \cdot M / 8$ . The number of bits required  
 20 by the values 00 following the encoded values equal to the marker FF cannot be estimated a priori, and it is set to a default value, preferably 0.

Therefore, it is possible to estimate a basic compression factor  $bp_s$  obtained using the quantization  
 25 tables  $Q_y, Q_{uv}$  scaled by the factor  $S$ ; the basic

compression factor  $bp_b$  is estimated applying the relation  
 $bp_b = (HDbits + DCbits + ACbits + CTbits)/(NM)$ .

The gain factor  $G$  for obtaining the target compression factor  $bp_t$  is then estimated as a function of  
5 the basic compression factor  $bp_b$ , determined a priori by a statistical analysis. For example, Fig.2b shows a relation between the basic compression factor  $bp_b$  and the gain factor  $G$  for obtaining a compression factor of 2 bit/pel (for the same camera as above). This relation can  
10 be interpolated as a quadratic function; in other words, the gain factor  $G$  can be estimated using the relation  
 $G = C_2 \cdot bp_b^2 + C_1 \cdot bp_b + C_0$  (wherein  $C_2$ ,  $C_1$  and  $C_0$  are parameters depending on the characteristics of the camera 100 and the target compression factor  $bp_t$ ).

15 This solution is particular simple and provides a good accuracy.

The parameters  $a, b$  and the tables  $JTy, JTuv$  are stored onto the E<sup>2</sup>PROM 175. Preferably, two or more sets of parameters  $C_2, C_1, C_0$ , each one associated with a  
20 different value of the target compression factor  $bp_t$  and with a different size of the digital image, are determined a priori by a statistical analysis. A look-up table, wherein each row addressable by the value of the target compression factor  $bp_t$  contains the respective  
25 parameters  $C_2, C_1, C_0$ , is also stored onto the E<sup>2</sup>PROM 175.

This feature allows different compression factors to be easily selected by the user.

Advantageously, the factor  $S$  is determined a priori by a statistical analysis, in order to further reduce the error between the target compression factor  $bp_t$  and the actual compression factor  $bp_a$ . Experimental results have shown that the factor  $S$  which minimizes the error also depends on the target compression factor  $bp_t$  (in addition to the characteristics of the camera 100).

10 Likewise considerations apply if the compressed digital image has a different format, if the number CTbits and the number of bits required by the values 00 following the encoded values equal to the marker FF are set to different values (such as some tens of bits), and  
15 the like. Alternatively, the gain factor is estimated directly from the energy measures, the relation ACbits/E and the relation  $G/bp_t$  are interpolated with different functions (such as a logarithmic function), the look-up table is stored elsewhere or a different memory structure  
20 is used, only one set of parameters  $C2, C1, C0$  is stored, the linear and quadratic functions are implemented by software, the factor  $S$  is set to a constant value, even equal to 1 (irrespective of the target compression factor  $bp_t$ ), and the like.

25 Considering now Fig.3, the energy unit 190 includes

23

a demultiplexer 310 with one input and three outputs; the demultiplexer 310 receives the digital image YUV and transfers the components of each type to a respective output (according to a selection command not shown in the figure); as a consequence, the digital image YUV is split into a luminance component image IMGy, a Cu chrominance component image IMGu, and a Cv chrominance component image IMGv.

The component images IMGy, IMGu and IMGv are supplied to a buffer (BFR) 315y, 315u, and 315v, respectively. A Sobel filter (SBL) 320y, 320u and 320v is also provided for each type of component; the Sobel filter 320y, 320u, 320v receives the component image IMGy, IMGu, IMGv directly from the demultiplexer 310 and the component image IMGy, IMGu, IMGv output by the buffer 315y, 315u, 315v at respective inputs. An output of each Sobel filter 320y, 320u and 320v is provided to a respective accumulator 325y, 325u and 325v, which outputs the corresponding energy measure Ey, Eu and Ev. The energy measures Ey, Eu, Ev are supplied to a summing node 330, which outputs the total energy measure E.

Each Sobel filter 320y, 320u, 320v calculates a horizontal Sobel image SHy, SHu, SHv and a vertical Sobel image SVy, SVu, SVv by means of a convolution of the component image IMGy, IMGu, IMGv with a horizontal mask Mh

24

and a vertical mask  $M_v$ , respectively. The horizontal mask  $M_h$  (used to detect horizontal outlines of the image) is

```

      -1   -2   -1
      0    0    0
5      1    2    1

```

and the vertical mask  $M_v$  (used to detect vertical outlines of the image) is

```

      -1    0    1
      -2    0    2
10     -1    0    1

```

In other words, each element of the horizontal Sobel images  $SH_{y,u,v}[i,j]$  and each element of the vertical Sobel images  $SV_{y,u,v}[i,j]$  (with  $i=0..N-1$  and  $j=0..M-1$ ) are calculated by the following formulas:

$$SH_{y,u,v}[i,j] = \sum_{a=-1}^{+1} \sum_{b=-1}^{+1} IMG_{y,u,v}[i+a, j+b] \cdot M_h[a,b]$$

$$SV_{y,u,v}[i,j] = \sum_{a=-1}^{+1} \sum_{b=-1}^{+1} IMG_{y,u,v}[i+a, j+b] \cdot M_v[a,b]$$

15 Each Sobel filter 320y,320u,320v then calculates a total Sobel image  $S_y, S_u, S_v$ , defined by the formula:

$$S_y = SH_y + \alpha \cdot SV_y$$

$$S_u = SH_u + SV_u$$

$$S_v = SH_v + SV_v$$

20 wherein the parameter  $\alpha$  is used to compensate for the asymmetry of the quantization table  $Q_y$  along a horizontal

25

and a vertical direction (for example  $\alpha=0,6$ ). The accumulator 325y,325u,325v sums these values and set the respective energy measure Ey,Eu,Ev equal to this sum, in other words:

$$E_{y,u,v} = \sum_{i=0}^N \sum_{j=0}^M |S_{y,u,v}[i,j]|$$

5 The summing node 330 then calculates the total energy measure  $E = E_y + E_u + E_v$ .

This solution provides a good accuracy, without requiring a too heavy computing time. However, the solution of the present invention can also be implemented  
10 without any compensation parameter, using different masks, a different method for estimating the energy measures, such activity measures, Laplacian filters (or other high-pass filters), using several energy measures (such as the energy measures Ey, Eu and Ev), and the  
15 like.

In order to explain the operation of the camera, reference is made to Figg.4a-4b (together with Fig.1). When the camera 100 is switched on by the user (acting on the on/off button), the microprocessor 170 runs the  
20 control program stored in the E<sup>2</sup>PROM 175. A method 400 corresponding to this control program starts at block 405 and then passes to block 410, wherein the user selects the desired quality of the image (such as low or high) by

acting on the corresponding button; the microprocessor  
170 determines and stores onto the SDARM 165 the target  
compression factor  $bp_t$  corresponding to the selected  
image quality (for example, 1 bit/pel for the low quality  
5 and 2 bit/pel for the high quality).

The method checks at block 415 if the shot button  
has been partially pressed in order to focus the image;  
if not, the method returns to block 410; as soon as the  
user partially presses the shot button, the method  
10 proceeds to block 420, wherein the incomplete digital  
image SImg is acquired by the sensor unit 110 (the  
diaphragm is always open and the light is focused by the  
lenses, through the Bayer filter, onto the CCD). The pre-  
processing unit 125 then controls the acquisition unit  
15 115 (by means of the control signals  $Sc$ ) according to the  
content of the incomplete digital image SImg.

The method checks again the status of the shot  
button at block 425. If the shot button has been  
released, the method returns to block 410, whereas if the  
20 shot button has been completely pressed (in order to take  
a photo) the method continues to block 430; on the other  
hand, if no action is performed by the user, the method  
stays in block 425 in an idle loop.

Considering now block 430, the incomplete digital  
25 image SImg is acquired by the sensor unit 110 and



modified by the pre-processing unit 125; the corresponding incomplete digital image BImg is stored onto the SDRAM 165. The method then passes to block 435, wherein the incomplete digital image BImg is read from  
5 the SDRAM 165 and provided to the image-processing unit 130. The image-processing unit 130 interpolates the missing colour components in each element of the incomplete digital image BImg, in order to obtain the corresponding digital image RGB, and modifies the digital  
10 image RGB to improve the image quality. The digital image RGB is then converted into the corresponding digital image YUV, which is sent onto the bus 120.

At this point, the method forks into two branches which are executed concurrently. A first branch consists  
15 of block 438, and a second branch consists of blocks 440-450; the two branches joint at block 455 (described in the following).

Considering now block 438, the digital image YUV is stored onto the SDRAM 165. At the same time, the digital  
20 image YUV is also received by the energy unit 190 at block 440; the energy unit 190 estimates the total energy measure E, which is sent onto the bus 120. The method proceeds to block 441, wherein the microprocessor 170 receives the total energy measure E and estimates the  
25 number ACbits (required to encode the AC coefficients)

28

using the parameters  $a, b$  read from the E<sup>2</sup>PROM 175. Continuing to block 442, the microprocessor 170 calculates the number DCbits (required to encode the DC coefficients) using the tables JTy, JTuv read from the

5 E<sup>2</sup>PROM 175. The method passes to block 443, wherein the microprocessor 170 calculates the number HDbits (required to represent the header of the compressed digital image JImg) and the number CTbits (required to represent the EOB control words). The microprocessor 170 then

10 calculates, at block 445, the basic compression factor  $bp_b$ , dividing the sum of the numbers ACbits, DCbits, HDbits and CTbits by the number of pixels of the digital image YUV (N·M). Continuing now to block 450, the microprocessor 170 reads the parameters C2, C1, C0

15 associated with the target compression factor  $bp_t$  from the E<sup>2</sup>PROM 175 (addressing the look-up table by the value of the target compression factor  $bp_t$ ); the microprocessor 170 then estimates the gain factor G for obtaining the target compression factor  $bp_t$  using the read parameters

20 C2, C1, C0.

At the end, the method passes to block 455, wherein the digital image YUV is read from the SDRAM 185 and provided to the DCT unit 140 which calculates the groups of DCT coefficients DCTy, u, v. Proceeding to block 460,

25 the microprocessor 170 reads the quantization tables

Qy,Quv from the E<sup>2</sup>PROM 175 and calculates the scaled quantization tables SQy,SQuv multiplying the respective quantization tables Qy,Quv by the gain factor G. Continuing to block 465, the groups of DCT coefficients DCTy,u,v and the scaled quantization tables SQy,SQuv are provided to the quantizer 150, which generates the corresponding groups of quantized DCT coefficients QDCTy,u,v. The method proceeds to block 470, wherein the quantized DCT coefficients QDCTy,u,v are transformed into the vector ZZ by the zigzag unit 155. The vector ZZ is provided to the encoder 160 at block 475, which generates the corresponding compressed digital image JImg; the compressed digital image JImg is then stored onto the SDRAM 165. Continuing to block 480, the compressed digital image JImg is read from the SDRAM 165 and sent to the memory card 180.

The method checks at block 485 if a stop condition has occurred, for example if the user has switched off the camera 100 (acting on the on/off button) or if the memory card 180 is full. If not, the method returns to block 410; on the other end, the method ends at block 490.

The preferred embodiment of the present invention described above, with the energy measure function implemented in hardware and the basic compression factor

and gain factor estimation function implemented in software, is a good trade-off between speed and flexibility.

Likewise considerations apply if the program  
5 executes a different equivalent method, for example with error routines, with sequential processes, and the like. In any case, the method of the present invention leads itself to be carried out even with all the functions completely implemented in hardware or in software.

10 In an alternative embodiment of the present invention, as shown in Figg.4c-4d (together with Fig.1), the microprocessor 170 executes a method 400a which starts at block 405 and then goes until block 430 as described above.

15 In this case, however, the method sequentially passes to blocks 435 (wherein the digital image YUV, provided by the image-processing unit 130, is sent onto the bus 120). The method then executes the operations defined by blocks 440-450 (wherein the energy unit 190  
20 estimates the total energy measure  $E$ , and the microprocessor 170 estimates the number ACbits, calculates the number DCbits, calculates the numbers HDbits and CTbits, calculates the basic compression factor  $bp_b$ , and estimates the gain factor  $G$ ).

25 At this point, the method continues to block 435a,

wherein the incomplete digital image BImg is again read from the SDRAM 165 and processed by the image-processing unit 130 to obtain the digital image YUV, which is sent onto the bus 120 (as at block 435). The method then  
5 passes to block 455 and proceeds as in the method shown in Figg.4a-4b.

The solution described above provides the advantage of never requiring the digital image YUV to be stored onto the SDRAM 165; this result is obtained with the  
10 trade-off of processing the incomplete digital image BImg twice (by the image-processing unit 130). However, in many structures the time and the power consumption required for storing and reading the digital image YUV are higher than the ones required for processing the  
15 incomplete digital image BImg. Moreover, the solution described above is particularly advantageous when there are memory constraints in the camera.

Naturally, in order to satisfy local and specific requirements, a person skilled in the art may apply to  
20 the solution described above many modifications and alterations all of which, however, are included within the scope of protection of the invention as defined by the following claims.

**THIS PAGE BLANK (USPTO)**

---

CLAIMS

EPO-DG 1

10. 07. 2000

1. A method (400) of compressing a digital image including a matrix of elements each one consisting of a plurality of digital components of different type representing a pixel, the method comprising the steps of:

splitting (455) the digital image into a plurality of blocks and calculating, for each block, a group of DCT coefficients for the components of each type,

quantizing (460-465) the DCT coefficients of each block using a corresponding quantization table scaled by a gain factor for achieving a target compression factor, characterized by the steps of

determining (440) at least one energy measure of the digital image,

estimating (441-450) the gain factor as a function of the at least one energy measure, the function being determined experimentally according to the target compression factor.

2. The method (400) according to claim 1, wherein each group of DCT coefficients consists of a DC coefficient and a plurality of AC coefficients, the step (441-450) of estimating the gain factor including the steps of:

estimating (441) a first number of bits required to

33

encode the AC coefficients of all the blocks using the quantization tables scaled by a pre-set factor as a first function of the at least one energy measure, the first function being determined experimentally according to the  
5 target compression factor,

calculating (442) a second number of bits required to encode the DC coefficients of all the blocks using the quantization tables scaled by the pre-set factor,

estimating (443-445) a basic compression factor  
10 provided by the quantization tables scaled by the pre-set factor according to the first number of bits and the second number of bits, and

estimating (450) the gain factor as a second function of the basic compression factor, the second  
15 function being determined experimentally according to the target compression factor.

3. The method (400) according to claim 2, wherein the first function is a linear function and the second function is a quadratic function.

20 4. The method (400) according to claim 2 or 3, wherein the step of estimating (443-445) the basic compression factor includes the steps of:

estimating (443) a third number of bits, required to encode control values, according to the number of  
25 elements of the digital image,



calculating (445) the basic compression factor dividing the sum of the first, second and third number of bits by the number of elements of the digital image.

5 5. The method (400) according to any claim from 2 to 4, further comprising the steps of:

storing a plurality of sets of parameters representing the second function, each set of parameters being associated with a corresponding value of the target compression factor,

10 selecting (410) an image quality and determining a current value of the target compression factor as a function of the selected image quality,

reading (450) the parameters associated with the current value of the target compression factor and  
15 estimating the gain factor using the read parameters.

6. The method (400) according to any claim from 2 to 5, wherein the pre-set factor is determined experimentally according to the target compression factor.

20 7. The method (400) according to any claim from 1 to 6, wherein each element of the digital image consists of a luminance component, a first chrominance component, and a second chrominance component.

8. The method (400) according to claim 7, wherein  
25 the at least one energy measure consists of a total

energy measure equal to the sum of an energy measure of the luminance components, an energy measure of the first chrominance components and an energy measure of the second chrominance components.

5           9. The method (400) according to claim 7 or 8, wherein the step (440) of determining the at least one energy measure comprises, for each type of component, the steps of:

          calculating a horizontal Sobel image and a vertical  
10   Sobel image by means of a convolution of the elements of the digital image consisting of said type of component with a horizontal mask and a vertical mask, respectively,  
          calculating a total Sobel image by summing the horizontal Sobel image and the vertical Sobel image, and  
15           summing the absolute value of each element of the total Sobel image.

          10. The method (400) according to claim 9, wherein at least one quantization table is asymmetric along a horizontal direction and a vertical direction, the method  
20   further comprising the steps of:

          multiplying the Sobel image associated with the at least one quantization table by a correction factor for compensating the asymmetry of the corresponding quantization table.

25           11. The method (400) according to any claim from 1

36

to 10, further comprising the steps of:

providing (410-430) an incomplete digital image wherein at least one component is missing in each element,

5 obtaining (435) the digital image from the incomplete digital image,

storing (438) the digital image onto a working memory and concurrently performing the steps of determining (440) the at least one energy measure and  
10 estimating (441-450) the gain factor,

reading (455-465) the digital image from the working memory for performing the steps of splitting (455) the digital image and quantizing (460-465) the DCT coefficients.

15 12. The method (400a) according to any claim from 1 to 10, further comprising the steps of:

providing (410-430) an incomplete digital image wherein at least one component is missing in each element,

20 obtaining (435) the digital image from the incomplete digital image for performing the steps of determining (440) the at least one energy measure and estimating (441-450) the gain factor,

obtaining (435a) the digital image from the  
25 incomplete digital image again for performing the steps

of splitting (455) the digital image and quantizing (460-465) the DCT coefficients.

13. A device (115) for compressing a digital image including a matrix of elements each one consisting of a plurality of digital components of different type representing a pixel, the device (115) comprising means (145) for splitting the digital image into a plurality of blocks and calculating, for each block, a group of DCT coefficients for the components of each type, means (150) for quantizing the DCT coefficients of each block using a corresponding quantization table scaled by a gain factor for achieving a target compression factor, characterized in that the device (115) further includes means (190) for determining at least one energy measure of the digital image, and means (170) for estimating the gain factor as a function of the at least one energy measure, the function being determined experimentally according to the target compression factor.

14. The device (115) according to claim 13, further comprising a compression unit (135) comprising the means (145) for splitting the digital image and calculating the DCT coefficients and the means (150) for quantizing the DCT coefficients, a memory unit (175) for storing the quantization tables, an energy unit (190) including the

means for determining the at least one energy measure, a processor unit (170) for controlling the device (115), communication means (120) for connecting the compression unit, the memory unit, the energy unit and the processor  
5 unit therebetween, the processor unit (170) estimating the gain factor under the control of a program stored onto the memory unit (175).

15. A digital still camera (100) comprising means (105-130) for providing the digital image and the device  
10 (115) of claim 13 or 14.

**THIS PAGE BLANK (USPTO)**

---

39

ABSTRACTEPO-DG 1  
10. 07. 2000

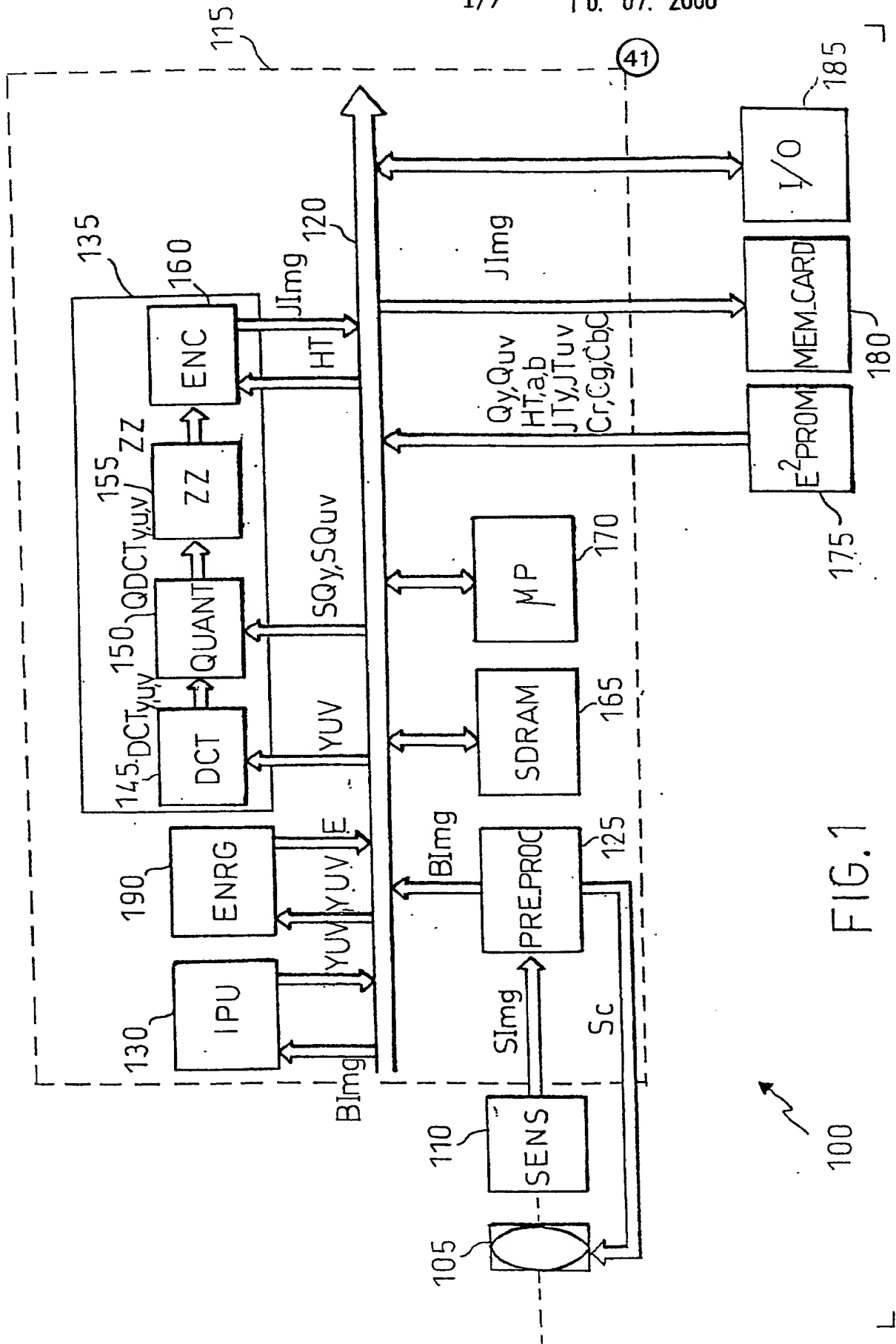
## A METHOD OF COMPRESSING DIGITAL IMAGES

5           A method (400) of compressing a digital image including a matrix of elements each one consisting of a plurality of digital components of different type representing a pixel, the method comprising the steps of splitting (455) the digital image into a plurality of  
10 blocks and calculating, for each block, a group of DCT coefficients for the components of each type, and quantizing (460-465) the DCT coefficients of each block using a corresponding quantization table scaled by a gain factor for achieving a target compression factor; the  
15 method further comprises the steps of determining (440) at least one energy measure of the digital image, and estimating (441-450) the gain factor as a function of the at least one energy measure, the function being determined experimentally according to the target  
20 compression factor.

**THIS PAGE BLANK (USPTO)**

---





2/7

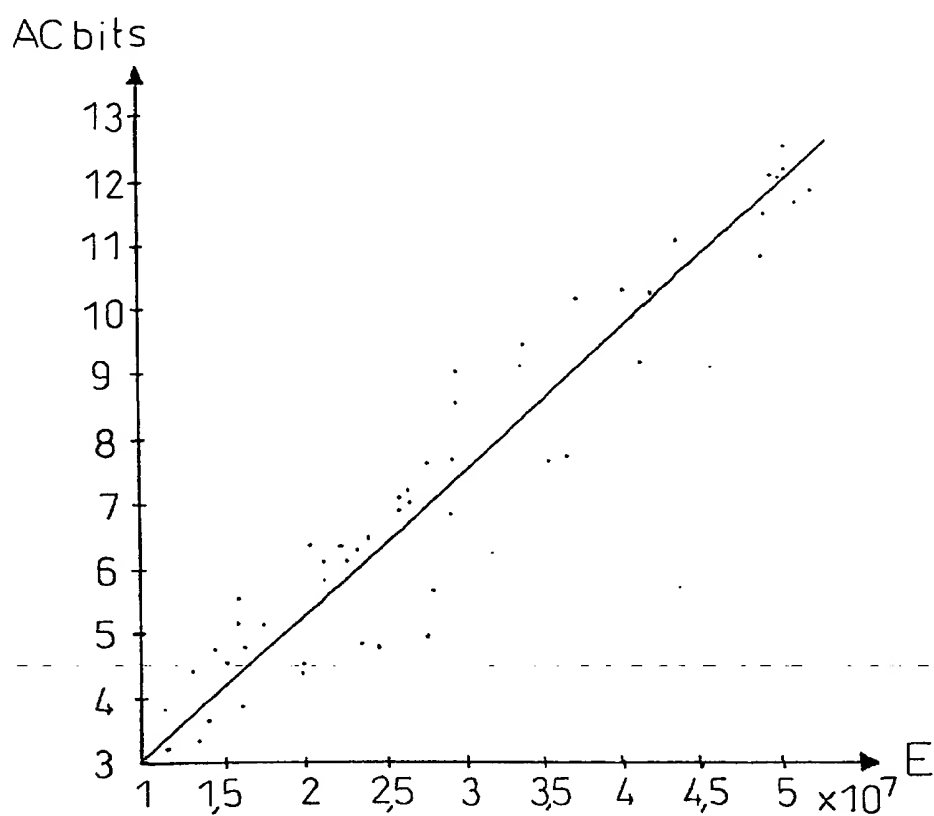


FIG. 2a

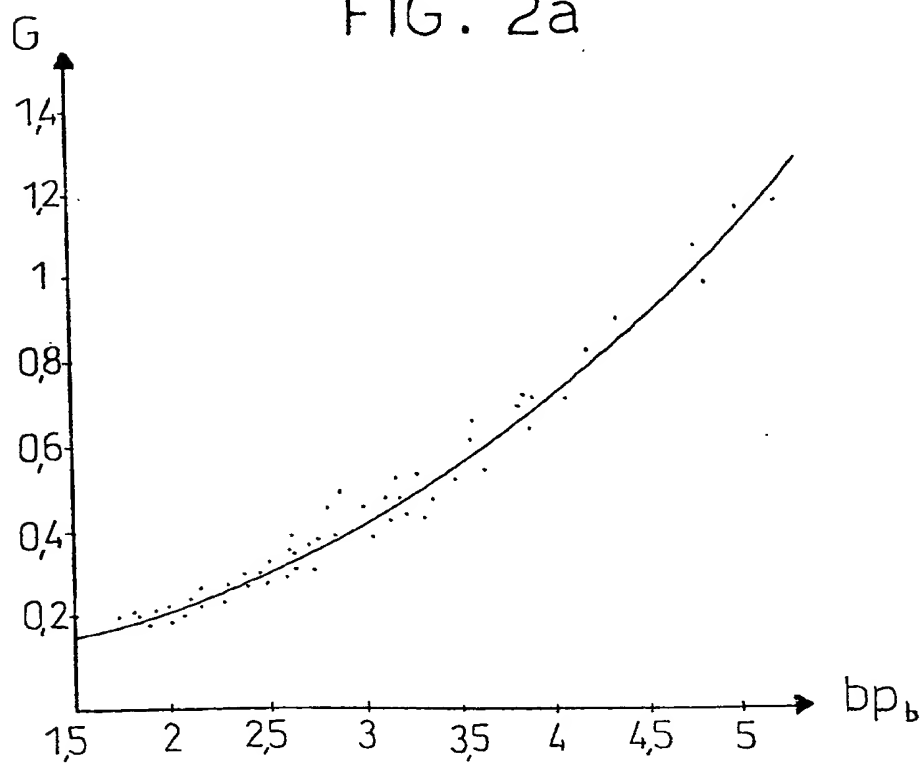


FIG. 2b

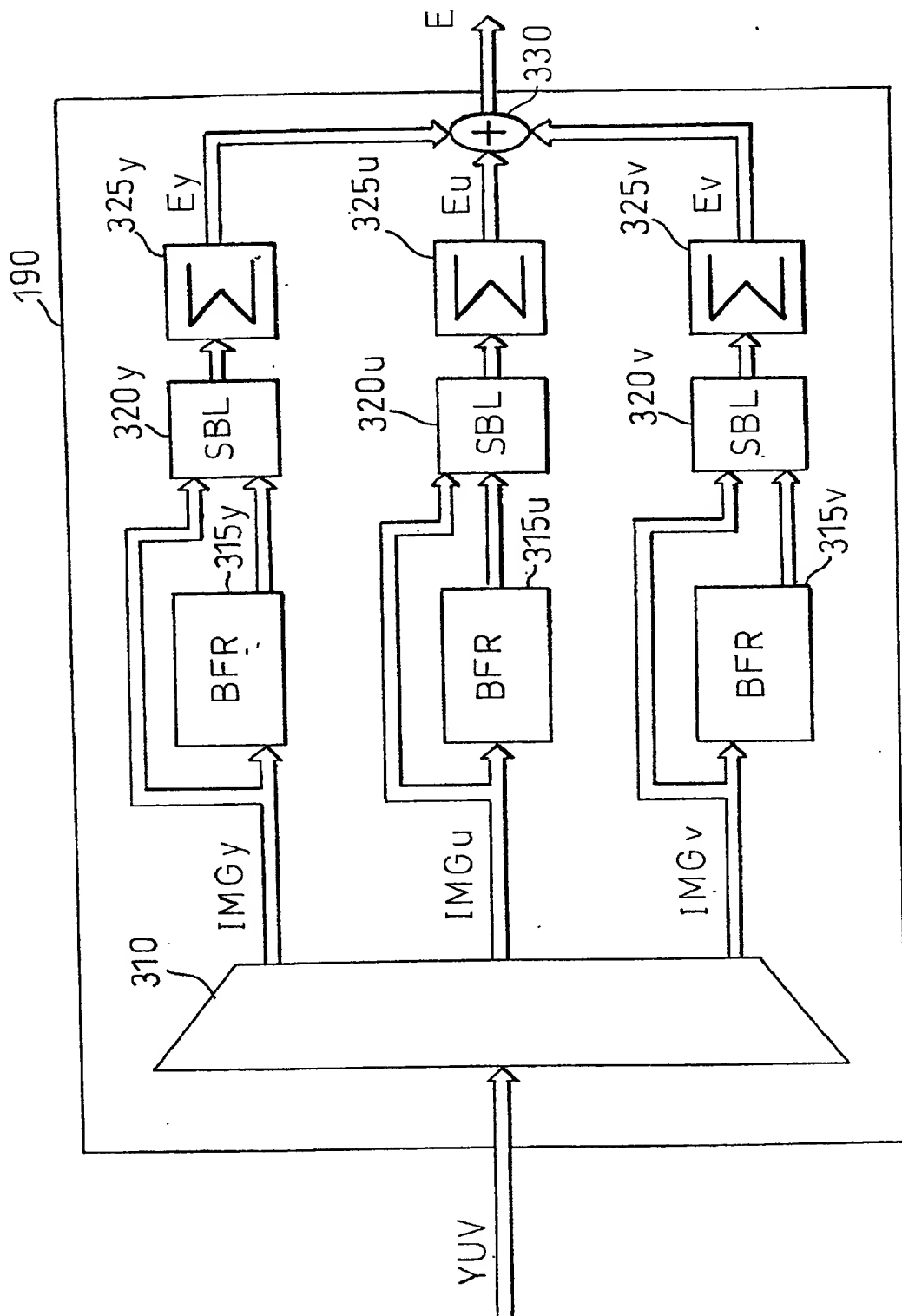


FIG. 3

4/7

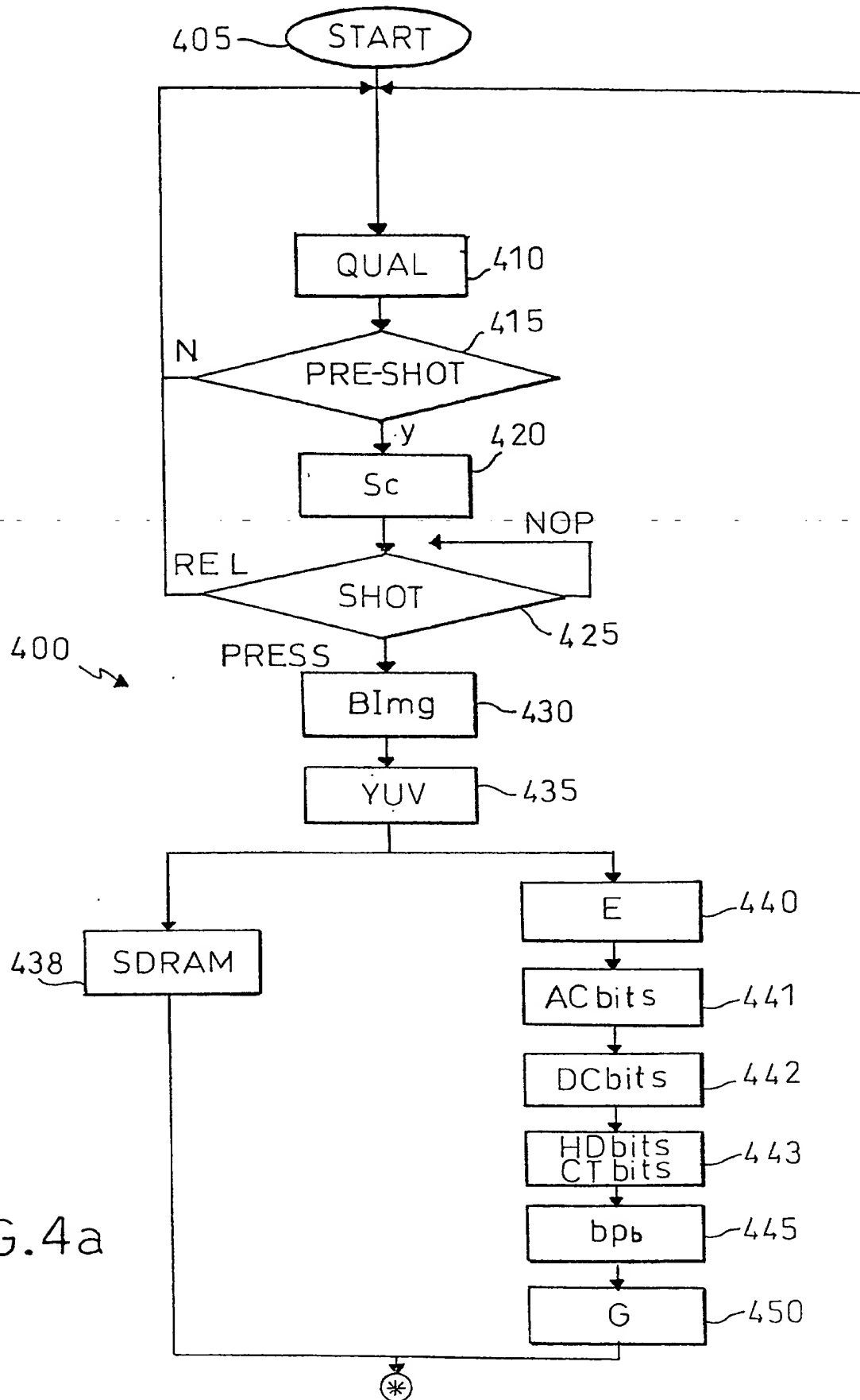


FIG.4a

5/7

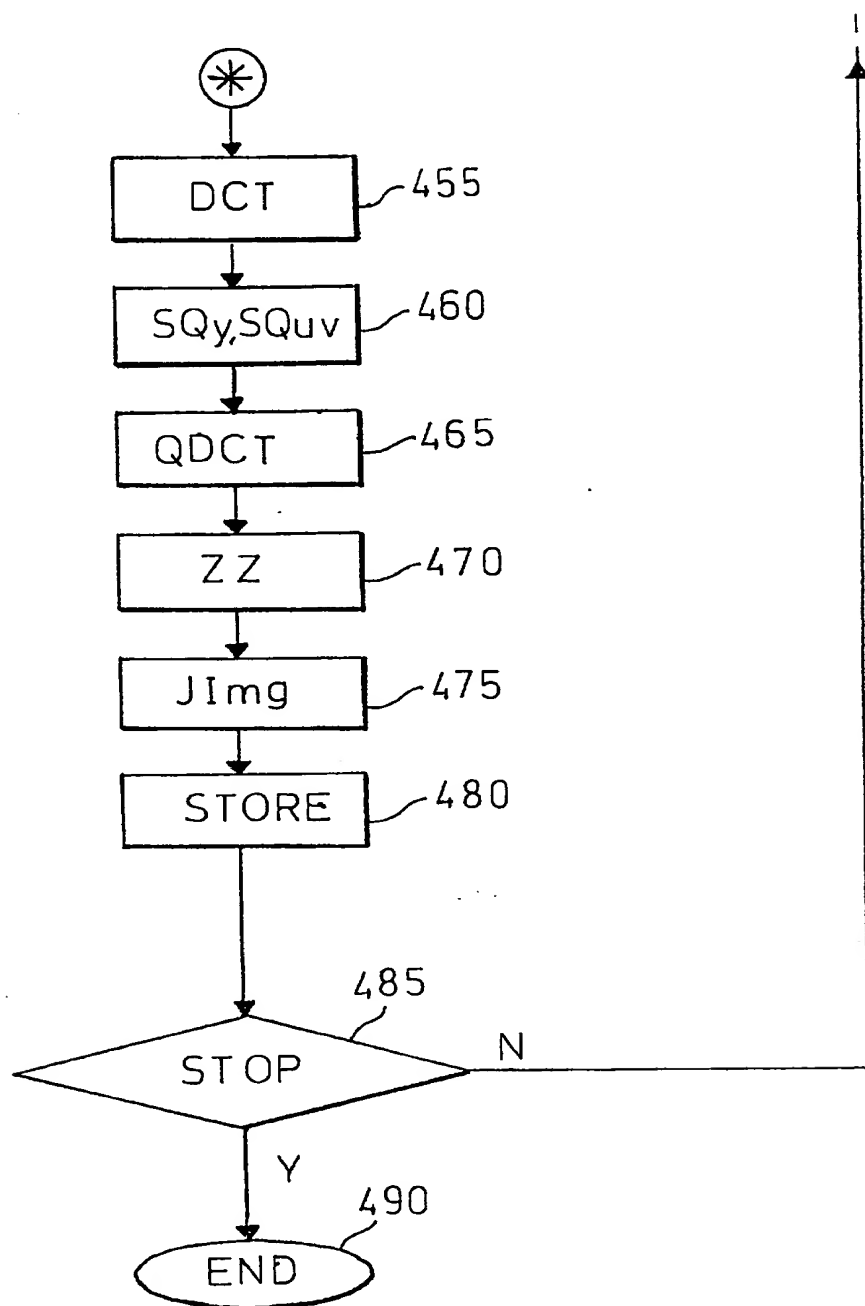


FIG. 4b

6/7

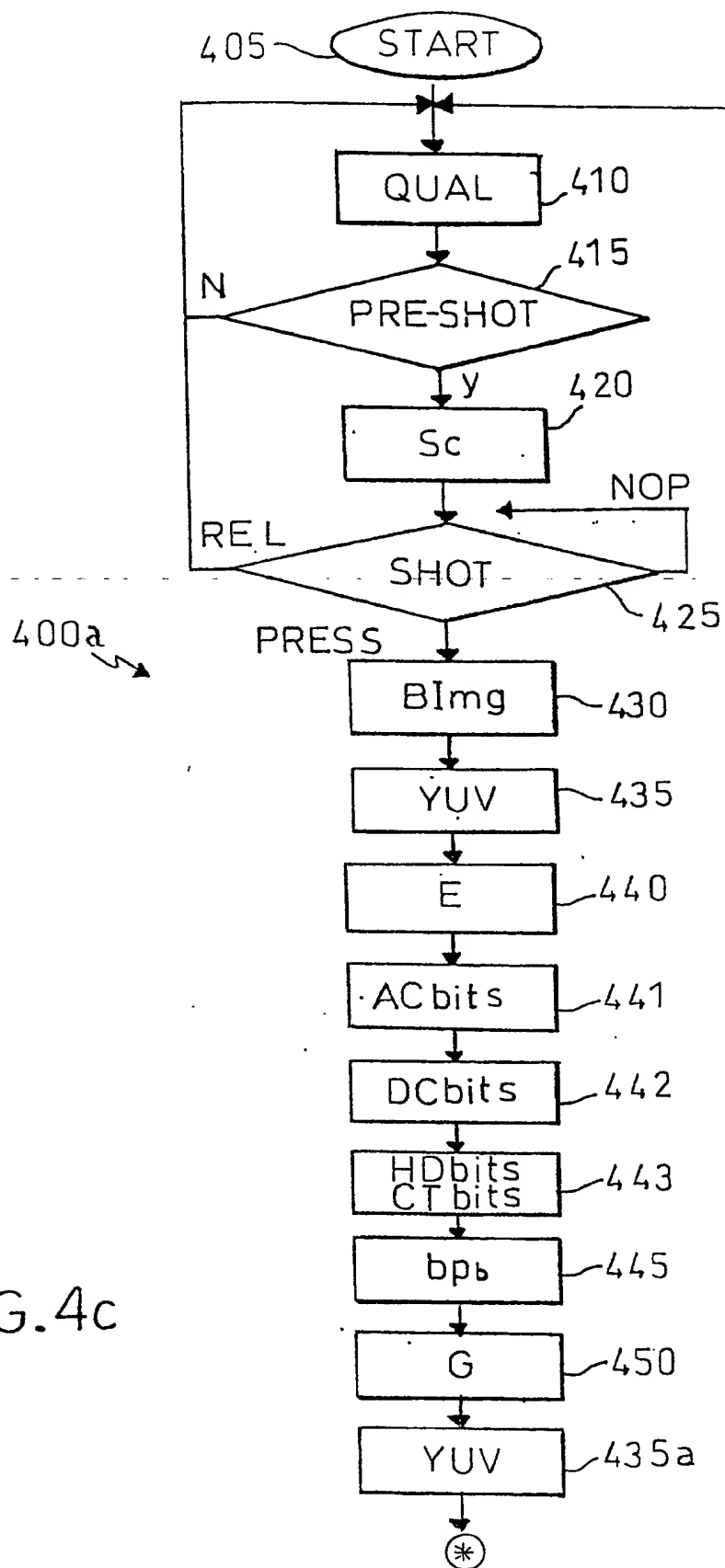


FIG.4c

7/7

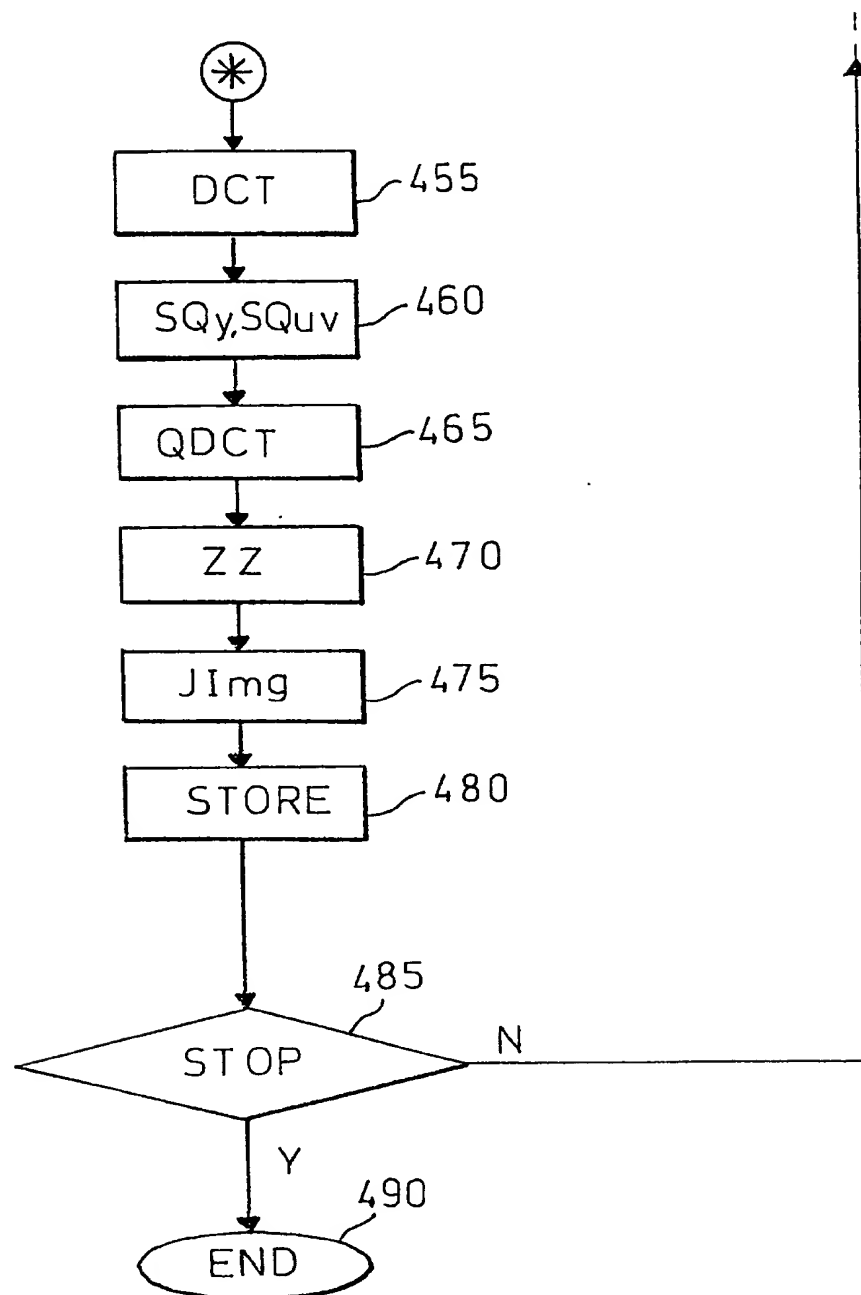


FIG. 4d

**THIS PAGE BLANK (USPTO)**